R	<b>EPORT DO</b>		OMB No. 0704-0188			
					hing existing data sources, gathering and maintaining the illection of information, including suggestions for reducing	
this burden to Department of D	efense, Washington Headqua	rters Services, Directorate for Infor	mation Operations and Reports	(0704-0188), 1215 Jeffe	rson Davis Highway, Suite 1204, Arlington, VA 22202- a collection of information if it does not display a currently	
	EASE DO NOT RETURN YO	UR FORM TO THE ABOVE ADDE			ATES COVERED (From - To)	
29-11-2010		Workshop Technical Report			2010 - Nov 2010	
4. TITLE AND SUBTIT	LE				CONTRACT NUMBER	
Strategic Directi	ons in Softwar	e at Scale			RE-ISCS-2010-1 GRANT NUMBER	
				N/A	OKANI NOMBEK	
					PROGRAM ELEMENT NUMBER 8781D8Z	
6. AUTHOR(S)					PROJECT NUMBER	
May, Michael J.	,	,		N/A	TASK NUMBER	
	•	California, Berkele	ey/CHESS)	N/A		
Jones, Lindsay	E. (DDR&E/IS	CS)		5f. \	WORK UNIT NUMBER	
				N/A		
7. PERFORMING ORG Office of Information Systems &	•	) AND ADDRESS(ES)			ERFORMING ORGANIZATION REPORT UMBER	
Office of the Director, Defense R 1777 N. Kent St., Suite 9030		kE)		DD	RE-ISCS-2010-1	
Rosslyn, VA 22209					NC-1000-2010-1	
The University of California, Berl Center for Hybrid and Embedded						
337 Cory Hall, #1770 Berkeley, CA 94720-1770						
9. SPONSORING / MO	NITORING AGENCY	NAME(S) AND ADDRESS	S(ES)	10.	SPONSOR/MONITOR'S ACRONYM(S)	
Office of Informat	ion Systems & C	yber Security (ISC	S)	DI	DR&E/ISCS	
Office of the Direct	ctor, Defense Re	search & Engineer			11. SPONSOR/MONITOR'S REPORT	
1777 N. Kent St,					NUMBER(S)	
Arlington, VA 22209				DDF	RE-ISCS-2010-1	
12. DISTRIBUTION / A	VAILABILITY STATE	MENT		·		
DISTRIBUTION	STATEMENT	A: Approved For	Public Release;	Distribution	is Unlimited	
13. SUPPLEMENTARY	NOTES					
14. ABSTRACT						
	ce of Information Syst	ems and Cyber Security (I	SCS) within the Office of	the Director, Defe	ense Research and Engineering (DDR&E)	
sponsored the Strategic	Directions in Software	at Scale (SaS) Workshop	, hosted by the Universit	y of California, Be	rkeley. The goals of the workshop were to: he short-, mid-, and long-term; identify	
					eveloping a DoD roadmap for SaS; and	
begin to build a case for	increasing DoD inves	tment in software enginee	ring and computer science	ce research to stre	engthen the DoD's software technology base.	
					nporal semantics, scalable composition, and	
					Id be the basis for a "Strategic Direction" in each presentation with respect to six	
evaluation criteria, and a					e top three performers for each individual	
evaluation criterion.						
ISCS found the workshop extremely useful and felt that it benefitted software researchers and the Department of Defense by assisting with community						
coordination and increased awareness.						
15. SUBJECT TERMS						
software, scale, embedded system, CHESS, information systems, cyber security, software synthesis, robust and continuous behavior, temporal semantics, scalable composition, software engineering process and methodology						
16. SECURITY CLASS			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	<b>19a. NAME OF RESPONSIBLE PERSON</b> Michael J. May	
a. REPORT	b. ABSTRACT	c. THIS PAGE	UU	18	<b>19b. TELEPHONE NUMBER</b> (include area code)	
U	U	U			703-696-8012	

# CLEARANCE REQUEST FOR PUBLIC RELEASE OF DEPARTMENT OF DEFENSE INFORMATION

(See Instructions on back.)

(This form is to be used in requesting revi	iew and clearance of DoD i	information proposed for public r	elease in accordance with DoDD 5230.9.)
TO: (See Note) Chief, Office of Note: Regular mail address shown abov Room 12047, 1777 North Kent S	e. For drop-off/next day d	felivery, use:	ashington, DC 20301-1155
1. DOCUMENT DESCRIPTION			
a. TYPE Presentation	b. TITLE Strategic D	irections in Software at Scale	(SaS)
c. PAGE COUNT	d. SUBJECT AREA Information System	and Cyber Security	
2. AUTHOR/SPEAKER			
a. NAME (Last, First, Middle Initial) Dr. Michael May	b. RANK Civilian	c. TITLE Associate Director, Softwa	re Technologies
d. OFFICE OSD-AT&L/DUSD/RD/ Information S	Systems	e. AGENCY DoD	
4. POINT OF CONTACT			
a. NAME (Last, First, Middle Initial) May, Michael J.	Lines al		b. TELEPHONE NO. (Include Area Code) 703-696-8012
5. PRIOR COORDINATION			
a. NAME (Last, First, Middle Initial)	b. OFFICE/AGENCY	CLEARED	c. TELEPHONE NO. (Include Area Code)
	Office	of Security Review	
6. REMARKS Note: to the PR request that the materia the material, the reviewer should look a Please contact Nika Jackson at 703-588 pick-up.	al was pulled tog	molfred PR sources. If they was slide	
7. RECOMMENDATION OF SUBMITTING	OFFICE/AGENCY		
a. THE ATTACHED MATERIAL HAS DEPA Remarks section) AND CLEARANCE FO AUTHORIZED TO MAKE THIS RECOMM ODUSD(AT&L)/ DDR&E	OR OPEN PUBLICATION IS	RECOMMENDED UNDER PROVI	
b. CLEARANCE IS REQUESTED BY 20 c. NAME (Last, First, Middle Initial)	101231 MY	YMMDD).	11-5-0540
May, Michael J.		Associate Director, Softwa	re Technologies
e. OFFICE		f. AGENCY	
ODUSD(AT&)/RD/ Information Syste	ms and Cyber Security	OSD/AT&L/DDR&E	
g. SIGNATURE	77		h. DATE SIGNED (YYYYMMDD)

DD FORM 1910, JAN 2006

PREVIOUS EDITION MAY BE USED.

FormFlow/Adobe Professional 6.0

# **Strategic Directions**

in

# Software at Scale (SaS)

Held August 18-19, 2010

Hosted by

The University of California, Berkeley

Center for Hybrid and Embedded Software Systems (CHESS)

In coordination with

The Office of Information Systems and Cyber Security,

Office of the Director, Defense Research and Engineering

Workshop Report

CLEARED For Open Publication

September, 27 2010

NOV 0'3 2010

6

Office of Security Review Department of Defense



11-8-0340

# Strategic Directions in Software at Scale (SaS)

Held August 18-19, 2010

Hosted by

The University of California, Berkeley Center for Hybrid and Embedded Software Systems (CHESS) Berkeley, CA

In coordination with

The Office of Information Systems and Cyber Security (ISCS)

Office of the Director, Defense Research and Engineering (DDR&E)

Rosslyn, VA

# **Workshop Technical Report**

DDRE-ISCS-2010-1

November 2010



Final Workshop Technical Report DDRE-ISCS-2010-1

#### STRATEGIC DIRECTIONS IN SOFTWARE AT SCALE

Contract W911NF-07-2-0019

Prepared for

Office of Information Systems & Cyber Security (ISCS)

Office of the Director, Defense Research & Engineering (DDR&E)

Rosslyn, VA

For the period

August 2010 – November 2010

Prepared by

Michael J. May, DDR&E/ISCS Edward A. Lee, University of California, Berkeley Lindsay E. Jones, DDR&E/ISCS

The University of California, Berkeley Center for Hybrid and Embedded Software Systems (CHESS) Berkeley, CA

In coordination with

The Office of Information Systems and Cyber Security (ISCS)
Office of the Director, Defense Research and Engineering (DDR&E)
Rosslyn, VA



# **Table of Contents**

1. Executive Summary	1
2. Introduction	3
2.1. Workshop Purpose and Goals	3
2.2 Workshop Approach	3
3. Software Technology Focus Areas and Technical Approaches Proposed	5
4. Assessment of Technical Approaches	8
4.1 Evaluation Criteria for Assessment of Technical Approaches	8
4.2 Data Analysis Methodology	9
5. Results and Discussion	10
5.1 Data Analysis and Results	10
5.1.1 Analysis of Performance for Each Evaluation Criterion	10
5.1.2 Analysis of Overall Performance	11
5.1.3 Variance and Notably Different Evaluation Criteria Patterns	12
5.1.4 Additional Observations	12
5.2 Workshop Conclusions and Considerations for the Future	13
Appendix: Workshop Agenda	15
List of Figures and Tables	
Figure 1: Overall Quality/Performance of Technical Approaches Presented	13
Table ES-1: Top Three Technical Approaches (in Rank Order) for Each Evaluation Criterion	2
Table 1: Workshop Evaluation Criteria	9
Table 2: Top Three Technical Approaches (in Rank Order) for Each Evaluation Criterion	11
Table 3: Top Three Technical Approaches (in Rank Order) Overall	11

## 1. EXECUTIVE SUMMARY

In August 2010, the Office of Information Systems and Cyber Security (ISCS) within the Office of the Director, Defense Research and Engineering (DDR&E) sponsored the Strategic Directions in Software at Scale (SaS) Workshop. The SaS Workshop was hosted by the University of California, Berkeley. The goals of the workshop were to:

- Identify new ideas and promising research directions in software engineering and computer science achievable in the short-, mid-, and long-term.
- Identify opportunities for collaboration and engage in rich intellectual exchange of technical ideas.
- Create a foundation for developing a DoD roadmap for SaS.
- Begin to build a case for increasing DoD investment in software engineering and computer science research to strengthen the DoD's software technology base.

Fifteen invited speakers gave presentations in the areas of software synthesis, robust and continuous behavior, temporal semantics, scalable composition, and software engineering process and methodology. Each speaker advocated a particular technical approach that could be the basis for a "Strategic Direction" in future software research. To capture the quality and promise of the technical approaches, attendees were asked to rate each presentation with respect to six evaluation criteria.

The overall best technical approaches, as assessed by the attendees, were "Temporal Semantics in Concurrent and Distributed Software"—Edward Lee, "Is Distributed Consistency Scalable?"—Ken Birman, and "The Effect of Software (and Communication) Reliability and Security on Control Systems"—Bruno Sinopoli.

Table ES-1 depicts the top performers in rank order for each Evaluation Criteria (EC) as determined by the weighted rank analysis described in section 4.2. Workshop presentations have been archived at <a href="http://chess.eecs.berkeley.edu/conferences/10/SDISAS/index.htm">http://chess.eecs.berkeley.edu/conferences/10/SDISAS/index.htm</a>. Hyperlinks to each individual presentation are included in the Appendix.

Evaluation Criteria		Technical Approach	Advocate
	How does the goal of	Temporal Semantics in Concurrent and Distributed Software	Lee
EC1	the research compare	From Formal Verification to Synthesis	Alur
	to the state of the art?	Control Software for Systems that Change Structure	Sengupta
		Temporal Semantics in Concurrent and Distributed Software	Lee
EC2	Is the research unique?	The Effect of Software (and Communication) Reliability and Security on Control Systems	Sinopoli
		Computer Aided Programming: Enabling Software at Scale	Solar-Lezama
		Composition at Scale	Sztipanovits
EC3	Who would use the knowledge?	Temporal Semantics in Concurrent and Distributed Software	Lee
		Opportunity-Centered Software Development Environments	Sullivan
	How much will it cost?	Engineering Processes that Engineer Scalable Systems	Osterweil
EC4		Synthesis of Provably-Correct Software Using Discrete Control Theory	Wang
		Synthesis for Software Security	Foster
		Engineering Processes that Engineer Scalable Systems	Osterweil
EC5	How long will it take?	Synthesis of Provably-Correct Software Using Discrete Control Theory	Wang
		Is Distributed Consistency Scalable?	Birman
		Is Distributed Consistency Scalable?	Birman
EC6	What are the measures of success?	Quantitative Verification and Synthesis of Systems	Seshia
		Control Software for Systems that Change Structure	Sengupta

Table ES-1: Top Three Technical Approaches (in Rank Order) for Each Evaluation Criterion

The ISCS office found the workshop extremely useful and felt that it benefitted software researchers by assisting with community coordination and increased awareness. Several suggestions on how to improve such workshops in the future were also made. They included:

- Define objectives more clearly,
- Push for participation from other Government agencies and industrial organizations,
- Evolve and enhance the evaluation criteria and assessments,
- Enhance workshop structure.

# 2. INTRODUCTION

# 2.1. Workshop Purpose and Goals

Software has become a critical enabler of our nation's defense systems and is rapidly and continually increasing in size, scale, and complexity. The Department of Defense (DoD) as well as the industrial base continues to encounter difficulties in successfully deploying software-intensive systems with desired functionality under cost and schedule constraints. Shortcomings and failure to successfully execute software-intensive systems can often be attributed to underpowered software development technologies which are not capable of addressing the scale, complexity, and capability required of today's systems. These underpowered technologies may be symptomatic of lacking investments in software engineering and computer science research and development (R&D) at the fundamental level, and a decline in DoD software expertise and knowledge assets.

In an effort to begin to confront these issues, the Office of Information Systems and Cyber Security (ISCS) within the Office of the Director, Defense Research and Engineering (DDR&E), in conjunction with the University of California, Berkeley was motivated to bring together a forum of the best thinkers across academia, industry, and Government to advocate and promote ideas with potential to dramatically improve our collective ability to build, evolve, and use large software systems; this resulted in plans for a 2010 Strategic Directions in Software at Scale (SaS) Workshop. The goals in hosting this workshop were to leverage the candidate technical approaches presented and discussions provoked to:

- Identify new ideas and promising research directions in software engineering and computer science achievable in the short-, mid-, and long-term.
- Identify opportunities for collaboration and engage in rich intellectual exchange of technical ideas.
- Create a foundation for developing a DoD roadmap for SaS.
- Begin to build a case for increasing DoD investment in software engineering and computer science research to strengthen the DoD's software technology base.

The remainder of this report summarizes the SaS workshop approach, technology areas explored, and overall results and conclusions about the technical approaches advocated by workshop speakers.

# 2.2 Workshop Approach

The Strategic Directions in Software at Scale workshop was invitation-only. Workshop participants included researchers, practitioners, and program managers from industry, academia, and Government. Potential workshop attendees were recommended Berkeley researchers and approved by ISCS staff based on expertise and areas of interest, involvement in the research community, and participation in DoD-sponsored software R&D programs. The ISCS staff also augmented the Berkeley-recommended list of attendees to broaden the intellectual base at the workshop.

The following high-level "technical focus areas" were chosen to establish a manageable scope for the workshop discussions (these are discussed further in section 2.3):

- (1) Software Synthesis
- (2) Temporal Semantics
- (3) Scalable Composition
- (4) Robust and Continuous behavior
- (5) Secure Composition
- (6) Process and Methodology

A subset of the invited workshop participants was invited to lead presentation/discussion sessions to advocate a technical approach or strategic direction in one of the six technical focus areas. Each session leader was given 20 minutes to advocate and make a case for their specific strategic direction or technical approach. This was followed by 20 minutes of group discussion during which the leader could pose questions to the group to stimulate moderated debate and dialogue.

Workshop speakers were provided with general guidance on how to structure their sessions, including:

- A description and overview of the technical direction advocated.
- The challenges or problems addressed and limitations of current practice.
- Novel technical aspects of the promising approach and evidence to support why it will work.
- Expected payoff including metrics that could assess success.
- Risk factors if the direction is not pursued, and the likelihood of a dead-end.

A representative example was also provided as guidance for formulating arguments and discussion questions:

(20 minutes) Session lead makes a case for the importance of pursuing research in the area of Temporal Semantics, for example:

O Argue that, by choice, computer science has omitted timing from the semantics of programming. The underlying technology, however, is very capable of precise and reliable timing. Argue for potential benefits of integrating timing into the semantics of programs. Risk factors include unknown effects from having to redesign much of the abstraction stack, from instruction set architectures (ISAs) up through operating systems and networks.

(20 minutes) Session lead poses thought-provoking questions and facilitates group discussion:

- o If computation and networking speeds continue to improve, can we just circumvent the problem by over provisioning?
- o What proportion of software problems arise from uncontrolled or unexpected timing of interaction between software components?
- o Are there intermediate solutions that do not require redoing much of what computer science has done for the last 40 years?
- o How long might it take for investment in research to lead to payoffs?

The workshop was held over the course of two days with 15 speaker/discussion sessions, as well as an additional separate session for "tweets" during which workshop participants could take five minutes to advocate for a topic, technical or otherwise, related to software research, development, acquisition, etc.

The following section provides a description of the higher-level technology areas explored as well as the specific technical approaches and strategic directions proposed and discussed over the course of the workshop.

# 3. SOFTWARE TECHNOLOGY FOCUS AREAS AND TECHNICAL APPROACHES PROPOSED

Advocates were invited to make a case for a strategic direction or technical approaches in one of six software technology focus areas. General descriptions of each of the focus areas are provided in this section, and the technical approaches and strategic directions proposed listed beneath. As expected, several of the technical approaches crossed multiple technology focus areas; technical approaches are listed below based on the focus area with which they most closely align.

#### (1) Software Synthesis (SS)

The notion is that software implementations can be computed from abstract and incomplete specifications with systematic exploration of the alternative implementations. The goal is to leverage advances in program modeling and analysis to be able to rule out undesirable implementations quickly and guide selection of desirable implementations, and to perform automatic code generation for those implementations.

From Formal Verification to Synthesis Rajeev Alur, Professor, University of Pennsylvania

Scalable Methods for Managing Uncertainty in System Design Andrzej Banaszuk, Fellow, United Technologies Research Center

Synthesis for Software Security
Jeffrey Foster, Professor, University of Maryland

Computer Aided Programming: Enabling Software at Scale
Armando Solar-Lezama, Assistant Professor, Massachusetts Institute of Technology

Synthesis of Provably-Correct Software Using Discrete Control Theory Yin Wang, Research Scientist, HP Labs

#### (2) Temporal Semantics (TS)

Cyber-physical systems integrate computing and networking with physical processes. The temporal dynamics of software and networks becomes critical to predicting and controlling the interactions of system components. However, nearly all current software abstractions omit time. The theme of this focus area is to investigate the potential impact and technical implications of modifying these abstractions to embrace temporal dynamics.

Temporal Semantics in Concurrent and Distributed Software Edward Lee, Professor, University of California, Berkeley

Software at Scale: Temporal Semantics
Vijay Saraswat, Member of Research Staff, IBM

Quantitative Verification and Synthesis of Systems
Sanjit Seshia, Assistant Professor, University of California, Berkeley

The Effect of Software (and Communication) Reliability and Security on Control Systems Bruno Sinopoli, Assistant Professor, Carnegie Mellon University

## (3) Scalable Composition (SC)

Many complex designs fail at system integration because of underspecified interfaces, unstated assumptions, or unexpected interference between components. This focus area addresses the problem through mechanisms for clarifying interfaces of components and ensuring correct composition.

Composition at Scale
Janos Sztipanovits, Professor, Vanderbilt University

### (4) Robust and Continuous Behavior (RCB)

Software tends to fail catastrophically, with return to known good state (e.g. rebooting) being a dominant recovery method. This focus area addresses approaches to achieving robust and continuous behaviors, where "continuous" means that small changes have small effects.

Is Distributed Consistency Scalable? Ken Birman, Professor, Cornell University

Control Software for Systems that Change Structure
Raja Sengupta, Associate Professor, University of California, Berkeley

## (5) Secure Composition (SC2)

Complex systems constructed by composing diverse components frequently suffer from interference, where one component disrupts another. This focus area examines mechanisms by which subsystems can be composed with assurances of non-interference. Possible approaches include game-theoretic formulations.

This focus area did not receive any submissions from workshop participants.

### (6) Process and Methodology (PM)

Reliable, repeatable production relies on well-understood and well-executed processes. Metrics for assessing quality are required. Further, the production of software at scale requires that the functions of

both process and measurement scale efficiently to large or complex systems. This focus area examines such scalable processes and measures related to system components, networks, and human and organizational components.

Reliability and Robustness of Large-Scale Systems

John Goodenough, Fellow, Carnegie Mellon University Software Engineering Institute

Engineering Processes that Engineer Scalable Systems

Lee Osterweil, Professor, University of Massachusetts, Amherst

Opportunity-Centered Software Development Environments

Kevin Sullivan, Professor, University of Virginia and Visiting Scientist, Carnegie Mellon University Software Engineering Institute

A separate "tweet" session allowing participants to quickly argue for a topic related to software at scale included the following:

Real Complexity

Brian Murray, Group Leader, United Technologies Research Center

Leadership Challenges for SaS Development

Edgar Dalrymple, Future Combat Systems Program, US Army Aviation and Missile Research Development and Engineering Center

Virtualization and Isolation

Christoph Kirsch, Postdoctoral Researcher, University of Salzburg

Toward a Science of Software Development

David Luginbuhl, Mathematics, Information and Life Sciences Directorate, Air Force Office of Scientific Research (for Jim Kirby, Center for High Assurance Computer Systems, Naval Research Laboratory)

Problems in Cyber Security

Glenn Racine, Network Sciences Division, Computational and Information Sciences Directorate, Army Research Laboratory

Complex Systems

Edward Lee, Professor, University of California, Berkeley

A workshop agenda is included in the Appendix of this document. This agenda includes hyperlinks to the detailed presentations for each of the technical approaches listed above.

# 4. ASSESSMENT OF TECHNICAL APPROACHES

# 4.1 Evaluation Criteria for Assessment of Technical Approaches

Six evaluation criteria (ECs) were developed as a means to enable "standardized" assessments of each of the technical approaches presented during the workshop to gain a sense from the group about research priorities, risk factors, and the promise of the various technical approaches. These ECs are described in Table 1. Sample responses were provided to guide workshop participants in addressing each of the ECs on a consistent scale to allow for subsequent data analysis. Participants were instructed to select one response per EC among "Best Case," "Middle Case," and "Worst Case" for each technical approach presented. Participants were also encouraged to provide written commentary to supplement their responses or reinterpret the evaluation criteria in free space provided.

Evaluation Criteria (EC)	Sample Response			
	Provides revolutionary understanding; success would be a breakthrough	Best Case		
EC1: How does the goal of the research compare to the current state of the art?	Provides new knowledge	Middle Case		
current state of the art:	Little or no apparent knowledge gain	Worst Case		
	Truly novel approach; trailblazing	Best Case		
EC2: Is the research unique?	Extends known concepts in novel ways	Middle Case		
	Marginally different from previous work	Worst Case		
	Obvious universal applications; like GUIs over command-line			
EC3: Who would use the knowledge?	Solid, but limited user-base: industry, military, academia	Middle Case		
	Applications are unclear or focused on very small communities	Worst Case		
	Minimal investment required: mainly theoretical investigation, a few good minds.	Best Case		
EC4: How much will it cost?	Significant brain power. Plus test tools, computing hours, and lab space.	Middle Case		
	Major research infrastructure: specialized hardware and software, specialized test ware	Worst Case		

(Continued on next page)

	I can write the abstract now for a Spring conference.	Best Case
EC5: How long will it take?	There known questions which have to be answered. 2 -3 years perhaps.	Middle Case
	Surely, new questions will arise. No confident time frame can be established.	Worst Case
	Established frameworks already exist to measure success in this area.	Best Case
EC6: What are the measures of success?	The measures of "overall effectiveness" are mostly known, but diagnostic and detailed performance metrics are not fullyestablished.	Middle Case
	It isn't clear how the research goal's effect on anything could be evaluated.	Worst Case

Table 1: Workshop Evaluation Criteria

While the assessment scale is admittedly imperfect, and there is a certain level of interpretation influencing responses, it did provide a manageable means for quantifying and analyzing the reactions of workshop participants for each of the technical approaches proposed. The anonymity of responses presumably enabled attendees to be more frank than they might be speaking openly or if polled in real-time during the workshop. Many participants did take the opportunity to expand on their responses and offer detailed commentary. This data is being used internally by ISCS to interpret and expand on these results.

# 4.2 Data Analysis Methodology

Raw data responses from the workshop participants' assessments were compiled for each technical approach presented. The number of "Best Case," "Middle Case," and "Worst Case" responses for each EC were divided over the total number of responses for that EC, providing a percent "Best Case" (%BC), percent "Middle Case" (%MC), and percent "Worst Case" (%WC) for each EC. This normalization was necessary due to differences in the number of attendees responding for each technical approach and each EC. A weight of 10 was applied to "Best Case," 5 to "Middle Case," and 1 to "Worst Case," and a weighted sum was calculated to represent a technical approach's performance for each EC, with the highest score possible score being 10, and the lowest 1 for any one EC. As an example, for a representative technical approach X, the weighted sum for EC1 was calculated by:

$$EC1_{x} = \%BC*10 + \%MC*5 + \%WC*1$$
 (1)

To represent each technical approach's overall performance across all ECs, an overall weighted sum was calculated by adding together the weighted sums for  $EC1_x$  through  $EC6_x$ , with each EC having an equal weight:

$$EC_x = EC1_x + EC2_x + EC3_x + EC4_x + EC5_x + EC6_x$$
 (2)

The weighted sums for each technical approach were rank-sorted from highest to lowest to identify the top three performing technical approaches for each EC and an overall score across ECs.

The variance for each technical approach was calculated to identify those which varied substantially across the six ECs.

Lastly, by considering each weighted quantity,  $ECn_x$ , a component of a six-dimensional vector, ECx, unit vectors  $(\hat{E}C_x)$  were calculated for each technical approach by dividing by vector magnitudes ( $|EC_x|$ ). The dot product of  $\hat{E}C_x$  and  $\hat{E}C_y$  was calculated to determine the quantity "cos  $\Theta_{xy}$ " which can be thought of as the cosine of a generalized angle. This analysis interprets cos  $\Theta$  as one measure of how similar two sets of EC ratings were. Clearly, two technical approaches receiving the exact same EC ratings would have  $\cos \Theta = 1$ . This quantity was used as a screening tool to look more closely at technical approaches who had several outlying  $\cos \Theta$  values (defined as less than 0.94) when compared to the other approaches.

$$|\mathbf{ECx}| = (\mathbf{EC_x}^2)^{1/2} \tag{3}$$

$$\hat{\mathbf{E}}\mathbf{C}_{\mathbf{x}} = \mathbf{E}\mathbf{C}_{\mathbf{x}} / |\mathbf{E}\mathbf{C}_{\mathbf{x}}| \tag{4}$$

$$\mathbf{\hat{E}C_x} \cdot \mathbf{\hat{E}C_y} = \cos \Theta_{xy} \tag{5}$$

The results of the calculations described in this section are discussed in section 5.1 along with observations and interpretations.

# 5. RESULTS AND DISCUSSION

# 5.1 Data Analysis and Results

#### 5.1.1 Analysis of Performance for Each Evaluation Criterion

Results

Table 2 depicts the top performers in rank order for each EC as determined by the weighted rank analysis described in section 4.2.

Evaluation Criteria		Technical Approach	Advocate	Technical Focus Area
	How does the goal of the research compare to the state of the art?	Temporal Semantics in Concurrent and Distributed Software	Lee	TS
EC1		From Formal Verification to Synthesis	Alur	SS
		Control Software for Systems that Change Structure	Sengupta	RCB
EC2	Is the research unique?	Temporal Semantics in Concurrent and Distributed Software	Lee	TS
		The Effect of Software (and Communication) Reliability and Security on Control Systems	Sinopoli	TS
		Computer Aided Programming: Enabling Software at Scale	Solar-Lezama	SS

(Continued on next page)

	Who would use the knowledge?	Composition at Scale	Sztipanovits	SC
EC3		Temporal Semantics in Concurrent and Distributed Software	Lee	TS
		Opportunity-Centered Software Development Environments	Sullivan	PM
		Engineering Processes that Engineer Scalable Systems	Osterweil	PM
EC4	How much will it cost?	Synthesis of Provably-Correct Software Using Discrete Control Theory	Wang	SS
		Synthesis for Software Security	Foster	SS
EC5	How long will it take?	Engineering Processes that Engineer Scalable Systems	Osterweil	PM
		Synthesis of Provably-Correct Software Using Discrete Control Theory	Wang	SS
		Is Distributed Consistency Scalable?	Birman	RCB
	What are the measures of success?	Is Distributed Consistency Scalable?	Birman	RCB
EC6		Quantitative Verification and Synthesis of Systems	Seshia	TS
		Control Software for Systems that Change Structure	Sengupta	RCB

Table 2: Top Three Technical Approaches (in Rank Order) for Each Evaluation Criterion

#### **Observations**

As shown in Table 2, Edward Lee, Ken Birman, Yin Wang, Lee Osterweil, and Raja Sengupta appear more than once in the top three performers. However, a large number of different talks/advocates appeared in the top three performers across all of the evaluation criteria.

# 5.1.2 Analysis of Overall Performance

#### Results

Table 3 depicts the top performers overall as determined by the weighted rank analysis described in section 4.2.

Technical Approach	Advocate	Technical Focus Area
Temporal Semantics in Concurrent and Distributed Software	Edward Lee	TS
Is Distributed Consistency Scalable?	Ken Birman	RCB
The Effect of Software (and Communication) Reliability and Security on Control Systems	Bruno Sinopoli	TS

Table 3: Top Three Technical Approaches (in Rank Order) Overall

## 5.1.3 Variance and Notably Different Evaluation Criteria Patterns

#### Variance

Three of the technical approaches in particular showed much higher variance across the ECs than the rest: "From Formal Verification to Synthesis" (Alur), "Composition at Scale" (Sztipanovits), and "Temporal Semantics in Concurrent and Distributed Software" (Lee). Lee, the top performer overall, scored extremely high for ECs 1-3 which relate to the technical content, high for EC6 (measures of success), and much lower for ECs 4 and 5 (cost and schedule). Alur scored very high for EC1, high for EC3, and much lower for ECs 4 and 5. Sztipanovits scored extremely high for EC3, high for EC1, and much lower for ECs 2, 4, and 6. Interestingly, all of these approaches received a top three mark in one of ECs 1-3, and scored on the very low end of ECs 4 and 5; this could be evidence of an assumption offered by a workshop participant regarding correlations between the uniqueness and novelty of research and the time and cost required to achieve it. This assertion is discussed further in section 4.1.4.

#### Dissimilarities when Compared to Other Approaches

Those technical approaches with the largest number of outlying  $\cos \Theta_{xy}$  values were "Engineering Processes that Engineer Scalable Systems" (Osterweil) with five, "Temporal Semantics in Concurrent and Distributed Software" (Lee) with three, and "Composition at Scale" (Sztipanovits) with three. Osterweil performed very high for ECs 4 and 5, slightly lower for ECs 3 and 6, and very low for ECs 1 and 2. As compared to Lee's and Sztipanovits' approaches, described above, Osterweil's approach appears to have been perceived by the attendees to be somewhat orthogonal.

Upon examination, Lee and Sztipanovits' approaches did not score conspicuously diffferently than the others, with the exception of scoring very high in certain ECs (1 and 3 respectively). However, a review of the approaches that were dissimilar to Sztipanovits' approach revealed an interesting feature. The approach "The Effect of Software (and Communication) Reliability and Security on Control Systems" (Sinopoli) was rated very high for EC2 (uniqueness), but lower for EC1 (state of the art) and EC3 (who would use it). It was the only approach to be rated with this pattern.

#### **5.1.4 Additional Observations**

Comments on the Quality of Research Presented

As can be inferred from Figure 1, the overall quality of the technical approaches presented was very high. No one EC appeared to dominate the others significantly in terms of performance.

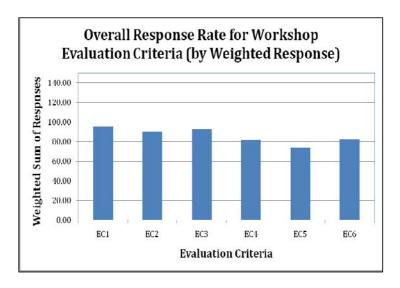


Figure 1: Overall Quality/Performance of Technical Approaches Presented

#### Correlations Between Evaluation Criteria

Many of the technical approaches which received high marks for comparison to state of the art (EC1) and uniqueness (EC2) received lower marks for cost (EC4) and time to achieve (EC5). While, in many cases, lower scores for ECs 4 and 5 dragged down overall weighted scores, the observation that that ECs 1-2 and 4-5 might be inversely correlated supports the assertion that progressive, unique research inherently lends itself to higher costs and longer time frames, but that perhaps these are the novel, unique challenges that we need to begin addressing now. One might observe that ECs 1-3 seem to come from more of a "research" perspective and ECs 4-6 from more of an "operational" perspective; as such, it is logical to conclude that correlations exist across ECs, and that, depending on interpretations, "Best Case" from an operational perspective might agree with "Worst Case" from a research perspective.

# 5.2 Workshop Conclusions and Considerations for the Future

We feel that the overall quality of the research presented and technical exchange conducted was extremely high. We hope that attendees perceived value in their participation and are looking forward to future workshops.

We feel that, in addition to the richness of the technical exchange, several benefits were derived from the SaS workshop:

Community coordination and community building: As evidenced by the variety of technical approaches presented and the diversity of backgrounds and research interests, we feel that the SaS workshop took advantage of the opportunity to blend cultural approaches from different, but related, research communities (software, process, control systems). Further, we believe that the technical exchange was made more valuable by the blending of perspectives across members of academia, industry, and the Government. Innovation and progress are often most significant at the intersection of disciplines and outlooks.

*Increased Awareness:* We now have a larger pool of researchers from which to draw promising ideas – ideas which have been assessed by peers and experts.

As this was the first of several workshops and activities that we hope to conduct over the next couple of years, we are eager to ensure value, progress, and meaningful return on participants' and sponsors' investment of time and money. As such, we describe below several considerations for future forums:

Clearly define what we intend to do. Ensure that prior to and at the beginning of workshops, goals, intended outcomes, opportunities as a result of participation, and desired input from workshop attendees is *clearly* defined.

Push for participation from other Government agencies and industrial organizations. It is important to foster interagency cooperation to ensure that all avenues are working together, and that researchers and investors are aware of existing opportunities and high-potential ideas.

Evolve and enhance the evaluation criteria and assessments. The six ECs considered at this workshop were established as an "experimental" system to determine if assessments of technical approaches could be structured to facilitate straightforward data analysis.

Continue to enhance workshop structure: Though the two 20 minutes sessions were not strictly adhered to, orchestrating the sessions in this way allowed for lively debate and discussion both during and after the speaker's presentations and ensured ample time for questions and comments. The organizers feel that this structure enabled participants to more thoroughly engage and more comprehensively evaluate the technical approaches presented. We feel it was conducive to rich technical exchange.

In addition, a participant suggested that the organizers consider framing workshops around uses desired by practitioners and end-users, critical problems that need addressing, and capabilities that need to be satisfied. These should be articulated by the DoD.

# **APPENDIX: WORKSHOP AGENDA**

Day 1: Wednesday, 18 August 2010

Time	Agenda Items/Technical Approaches	Speaker
8:30 to 9:45	Workshop Organization	Edward Lee, Berkeley
8:45 to 9:00	Workshop Goals and Overview	Michael May, DDR&E/ISCS, OSD
9:00 to 9:40	<u>Workshop Introduction – Software at Scale: Critical Defense</u> <u>Issues</u>	Rich Turner, Stevens
9:40 to 10:20	From Formal Verification to Synthesis	Rajeev Alur, Penn
10:20 to 10:40	Break	All
10:40 to 11:20	Catch Up	All
11:20 to 12:00	<u>Is Distributed Consistency Scalable?</u>	Ken Birman, Cornell
12:00 to 1:00	Working Lunch	All
1:00 to 1:40	Software at Scale: Temporal Semantics	Vijay Saraswat, IBM
1:40 to 2:20	Synthesis of Provably-Correct Software Using Discrete Control Theory	Yin Wang, HP Labs
2:20 to 3:00	Quantitative Verification and Synthesis of Systems	Sanjit Seshia, Berkeley
3:00 to 3:30	Break	All
3:30 to 4:10	Control Software for Systems that Change Structure	Raja Sengupta, Berkeley
4:10 to 5:00	Synthesis for Software Security	Jeffrey Foster, Maryland
5:00 to 5:30	Wrap up Discussion and Consensus Building	Edward Lee, Berkeley Michael May, DDR&E/ISCS, OSD

Day 2: Thursday, 19 August 2010

Time	Agenda Items/Technical Approaches	Speaker
8:30 to 9:10	Composition at Scale	Janos Sztipanovits, Vanderbilt
9:10 to 9:50	Scalable Methods for Managing Uncertainty in System  Design	Andrzej Banaszuk, UTRC
9:50 to 10:30	Engineering Processes that Engineer Scalable Systems	Lee Osterweil, Amherst
10:30 to 10:50	Break	All
10:50 to 11:30	Opportunity-Centered Software Development Environments	Kevin Sullivan, Virginia and SEI
11:30 to 12:10	Reliability and Robustness of Large-Scale Systems	John Goodenough, SEI, CMU
12:10 to 1:00	Working Lunch	All
1:00 to 1:40	Tweets (Five Minute Madness)	
1:40 to 2:20	Computer Aided Programming: Enabling Software at Scale	Armando Solar-Lezama, MIT
2:20 to 3:00	The Effect of Software (and Communication) Reliability and Security on Control Systems	Bruno Sinopoli, CMU
3:00 to 3:30	Break	All
3:30 to 4:10	Temporal Semantics in Concurrent and Distributed Software	Edward Lee, Berkeley
5:00 to 5:30	Wrap up Discussion and Consensus Building	Edward Lee, Berkeley Michael May, DDR&E/ISCS, OSD